LIONSHARE
PARTNERS

Important Documents for Introductory Meeting – We ask that you provide these documents before our initial meeting. The following documents are required in order to accurately complete your Financial Plan. Please provide us those documents that are applicable to your personal financial situation. Strict confidentiality will be maintained at all times.

Tax Planning

- Federal & State Tax Returns (Two previous years)
- Most recent paycheck stub(s)

Retirement Planning & Investments (Most Recent Statements)

- Recent account statements (Bank accounts, Retirement Plan accounts, Brokerage accounts, etc.)
- Employer Stock Plan Documents and Statements (Employee Stock Purchase Plan, Non-Qualified Stock Options, Incentive Stock Options, Restricted Stock Units, Deferred Comp, etc.)
- Retirement Plans (401k, 403b, etc.) - Documents that show plan terms and investment choices
- Mortgage and other loan statements
- Annual Statement(s) of partnership/LLC interests
- Pension documents and statements/ Social Security Statements
- Employer Group Benefits statement or summary
- 529 Statement(s) and/or UTMA Statement(s)

Important Documents for Planning Meeting

- We will request these and related documents for subsequent planning and review meetings.

Risk Management (Most Recent Statements)

- Life Insurance Policies & Annual Statements
- Disability Insurance
- Health Insurance & Long-term care (Policy documents and statements)
- Property & Casualty Insurance (Homeowner/Condo/Renter & Auto policy declaration pages)
- Excess or Umbrella Insurance

Estate Planning

- Estate Planning Documents (Trust, Will, Power of Attorney, etc.)
- Divorce Settlements and/or Nuptial Agreements
- Buy/Sell Agreements
- Trust Statement(s) of which you are the beneficiary (ex: ILIT)
- Any other material financial documents (Ex. Business arrangements, Family Trusts, etc.)